



**LOUISIANA DEPARTMENT OF INSURANCE**

**JAMES J. DONELON  
COMMISSIONER**

**CERTIFIED MAIL**  
**RETURN RECEIPT REQUESTED**  
**ITEM # 7018 1130 0001 3089 6882**

**NOTICE OF CIVIL FINE & ORDER OF THE COMMISSIONER**

July 2, 2019

Donna M. Nadeau, CEO  
AXA Insurance Company  
200 Liberty Street  
New York, NY 10281-1037

RE: Failure to Provide Contact Information and File Notice of New Officers/Directors

Dear Ms. Nadeau:

Pursuant to La. R.S. 22:41.2

**§41.2. Submission of contact information**

A. Each risk-bearing entity authorized by the commissioner shall provide accurate contact information to the commissioner.

B. A risk-bearing entity shall annually inform the commissioner by electronic means of the name, mailing address, phone number, and electronic mail address of each individual responsible for each of the following:

- (1) Receipt of and response to consumer complaints.
- (2) Receipt of rules, regulations, and other directives from the commissioner.
- (3) Receipt and filing of inquiries regarding the financial condition of the entity.
- (4) Receipt and filing of inquiries regarding tax payments.
- (5) Any other function the commissioner deems necessary to the exercise of his authority.

C. A risk-bearing entity shall inform the commissioner within thirty days of any change in the information required to be submitted in Subsection B of this Section.

According to the records of the Louisiana Department of Insurance (LDI) AXA Insurance Company has failed to provide the required contact information or the annual notification. That failure is a violation of the statute cited above.

Pursuant to La. R.S. 22:337 A (5) the Commissioner of Insurance may suspend or revoke the Certificate of Authority of any insurer which has violated any law of this state.

Pursuant to La. R.S. 22:340.1 every foreign and alien insurer authorized to transact business in Louisiana is required to file certain notice and biographical information within sixty days of the effective date of the election or appointment of a new officer or director.

The Louisiana Department of Insurance has determined that AXA Insurance Company is in violation of the above captioned statute due to a failure to provide notice and biographical information for Donna Nadeau, Irina Buchmann, Robert Walsh, Toni A. Perkins, Gabriel G. Corino III, Andres R. Will, David D. Brooks, James M. Norris, Joseph A. Tocco and Todd D. Zimmerman within sixty days of the election or appointment of these individuals as officers or directors of the company.

Pursuant to La. R.S. 22:18 the Commissioner of Insurance may, in lieu of suspension or revocation of the Certificate of Authority, levy a fine not to exceed \$1,000.00 per violation.

You are hereby notified that AXA Insurance Company a risk bearing entity domiciled in Delaware and admitted in Louisiana, is fined in the amount of six thousand dollars (\$6,000.00) for the above cited violations.

You are hereby ordered to pay the above indicated fine by check or money order made payable to the "State of Louisiana – Department of Insurance" within thirty days of the date of this notice.

**You are further ordered** to file the required contact information, make the necessary annual verification and file the required biographical information within thirty days of the date of this notice.

Failure to pay the fine or comply with this order within thirty days of the date of this notice may result in suspension or revocation of the Certificate of Authority for AXA Insurance Company in Louisiana.

Please return the payment and a copy of this notice to;

Louisiana Department of Insurance  
Attn. Accounts Receivable  
P.O. Box 94214  
Baton Rouge, LA 70804-9214

You have the right to appeal this action and be granted an administrative hearing before the Division of Administrative Law. You must make a written demand for an appeal within thirty (30) days from the date of this notice. Failure to file a written demand for an appeal within thirty (30) days from the date of this notice will preclude your right to an administrative hearing. Pursuant to La. R.S. 22:2191.B, your written demand for an appeal (1) shall reference the particular sections of the statutes and rules involved; (2) shall provide a short and plain statement of the matters asserted for review; and (3) shall attach a copy of the order or decision that you are appealing. Your written demand for an appeal shall be filed with the Louisiana Department of Insurance at the addresses below:

Louisiana Department of Insurance  
Attn: Elizabeth Butler  
P. O. Box 94214  
Baton Rouge, LA 70804-9214

Telephone: (225) 342-4673  
Fax: (225) 342-1632

File in Person at:  
1702 N. 3<sup>rd</sup> St.  
Baton Rouge, LA 70802

Should you have any questions, please feel free to call the undersigned at 225-342-0800 or to contact me by email at [mboutwell@ldi.la.gov](mailto:mboutwell@ldi.la.gov).

Sincerely,



Mike Boutwell  
Assistant Commissioner of Licensing